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# **APPENDIX A**

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of: 4197-114 Docket No.: Applicant: Ralf-Uwe Bauer, et al. Examiner: Not Yet Assigned **Application No.:** 10/088,751 Art Unit: Not Yet Assigned Date Filed: March 20, 2002 Title: METHOD FOR PRODUCING CELLULOSE SHAPED-BODIES

#### FIRST CLASS MAIL CERTIFICATE

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to the Commissioner for Patents, Washington, DC 2023 I, and First Class Mailed under the provisions of 37 CFR 1.8.

Katturiu + Olam Katrina Holland

May 21, 2002

Date of Mailing

#### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- I. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

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	a.	I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).					
	□ b.	I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).					
	☐ c.	Attached is our check no in the amount of \$ in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.					
3.	of the consider fee und ensure	This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check no in the amount of \$130.00 in payment of the petition fee under 37 C.F.R. §1.17(i)(1). Please credit or debit Deposit Account No as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.					
	☐ a.	I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).					
		I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).					
	Respectfully submitted,						

Attorney for Applicant

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Martanne Fuierer Reg. No. 39,983

Sheet 1 of 1

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